

Wilfried A. KUES et al.

Serial No. 10/576,208

IA Filing Date: October 15, 2004

IAP12 Rec'd PCT/PTO 16 MAR 2007

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Atty's Dkt: KUES=1 **Application Division** ATTN: PCT

Washington, D.C. Confirmation No.9116

Date: March 16, 2007

LATE SUBMISSION OF DECLARATION AND/OR TRANSLATION IN APPLICATION FILED UNDER 35 USC 371

U.S. Patent and Trademark Office Customer Service Window Randolph Building, Mail Stop Missing Parts 401 Dulany Street Alexandria, VA 22314

For: ISOLATION OF STEM CELL-LIKE CELLS AND USE ...

Sir:

[]

The present communication is in response to the "NOTICE OF MISSING REQUIREMENTS UNDER 35 USC 371..." dated January 16, 2007. Attached hereto is/are:

An executed oath or declaration in compliance with 37 C.F.R. 1.63, identifying the present application by title, PCT information and priority information.

[XX] A Preliminary Amendment

Copy of the "Sequence Listing" in computer readable form (CRF) and statement that contents of the CRF are the same as the [XX] paper copy.

Application Data Sheet. [XX]

[XX] Additional fees as calculated below:

Surcharge of \$130.00	\$130.00		
	ned priority date (37 CFR 1.492(e)). tional 50 pages or fraction thereof (round	RATE	
up to a whole numbe			
		X \$250.00	
Number of Claims Previously Paid For	Number of Extra Claims	Rate	
92- 20 =	72	X \$ 50.00	\$3600.00
8- 3 =	5	X \$200.00	\$1000.00
Multiple Dependent C	Claims (if applicable)	+ \$360.00	\$
	\$4730.00		
Reduction of ½ for fill See 37 CFR 1.27.	<\$2365.00 >		
		SUBTOTAL	\$2365.00
Processing fee of \$1:	\$		
		TOTAL NATIONAL FEE	\$2365.00

Surcharge for late	filing o	of the Declaration was p	aid on				
Small Entity				Other Than Small Entity			
Response Filed Within		Response Filed Within					
[] First	-	\$ 60.00	[]	First	-	\$ 120.00	
[] Second	-	\$ 225.00	[]	Second	-	\$ 450.00	
[] Third	-	\$ 510.00	[]	Third	-	\$1,020.00	
[] Fourth	-	\$ 795.00	[]	Fourth	-	\$1,590.00	
[] Fifth	-	\$1,080.00	[]	Fifth	-	\$2,160.00	
month after time period set			month a	ifter time peri	od set		

[XX] Total fees enclosed: \$2365.00

Credit Card Payment Form, PTO-2038, authorizing payment the amount of \$2365.00 is enclosed to cover the above fees. [XX]

[XX] Conditional Petition for Extension of Time:

If any extension of time for a response is required, applicant requests that this be considered a petition therefor.

The Commissioner is hereby authorized and requested to charge any additional fees which may be required in connection with [XX] this application or credit any overpayment to Deposit Account No. 02-4035. This authorization and request is not limited to payment of all fees associated with this communication, including any Extension of Time fee, not covered by check or specific authorization, but is also intended to include all fees for the presentation of extra claims under 37 CFR 1.16 and all patent processing fees under 37 CFR 1.17 throughout the prosecution of the case. This blanket authorization does <u>not</u> include patent

issue fees under 37 CFR 118.

03/19/2007 JBALINAN 00000105 10576208. 1800.00 OP 500.00 OP 65.00 OP 03 FC:2617

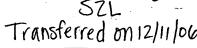
BROWDY AND NEIMARK, P.L.L Attorneys for Applicant(s

By: Sheridan Neimark

Registration No. 20,520

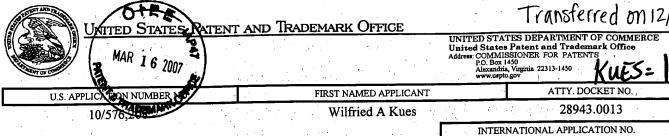
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Page 1 of 3



PRIORITY DATE

10/17/2003



30827 MCKENNA LONG & ALDRIDGE LLP 1900 K STREET, NW WASHINGTON, DC 20006

RECEIVED WITH THANKS JAN 23 2007 AROUDY AND NEIMARK PLILC MASHINGTON, D.C., 20001-5303

CONFIRMATION NO. 9116 371 FORMALITIES LETTER

PCT/AU04/01408

MSP/SEQ: 16ML2007

OC000000021992769

I.A. FILING DATE

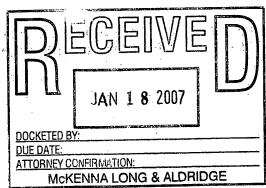
10/15/2004

Date Mailed: 01/16/2007

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Indication of Small Entity Status
- Copy of the International Application filed on 04/17/2006
- Copy of the International Search Report filed on 04/17/2006
- Copy of IPE Report filed on 04/17/2006
- Copy of Annexes to the IPER filed on 04/17/2006
- Preliminary Amendments filed on 04/17/2006
- U.S. Basic National Fees filed on 04/17/2006
- Priority Documents filed on 04/17/2006
- Specification filed on 04/17/2006
- Claims filed on 04/17/2006
- Abstracts filed on 04/17/2006
- Drawings filed on 04/17/2006



The applicant needs to satisfy supplemental fees problems indicated below.

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Additional claim fees of \$2300 as a small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.
- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.

To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath
or declaration) as set forth in 37 CFR 1.492(h) of \$65 for a small entity in compliance with 37 CFR 1.27,
must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$2365 for a Small Entity:

- \$65 Surcharge.
 - This application does not contain, as a separate part of the disclosure on paper copy, a "Sequence Listing" as required by 37 CFR 1.821(c) Applicant must provide an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment specifically directing its entry into the application and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000).
 - A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 CFR 1.821(e). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.
- Total additional claim fee(s) for this application is \$ 2300
 - \$500 for 5 independent claims over 3.
 - \$1800 for 72 total claims over 20.

Applicant is cautioned that correction of the above items may cause the specification and drawings page count to exceed 100 pages. If the specification and drawings exceed 100 pages, applicant will need to submit the required application size fee.

For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:

- For Rules Interpretation, call (571) 272-0951
- For Patentin Software Program Help, call Patent EBC at 1-866-217-9197 or directly at 703-305-3028 / 703-308-6845 between the hours of 6 a.m. and 12 midnight, Monday through Friday, EST.
- Send e-mail correspondence for Patentin Software Program Help @ ebc@uspto.gov

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web.

https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html

For more information about EFS-Web please call the USPTO Electronic Business Center at **1-866-217-9197** or visit our website at http://www.uspto.gov/ebc.

If you are not using EFS-Web to submit your reply, you must include a copy of this notice.

BARBARA A CAMPBELL

Telephone: (703) 308-9140 EXT 217

PART 1 - ATTORNEY/APPLICANT COPY

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U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.		ATTY. DOCKET NO.		
10/576.208		PCT/AU04/01408	28943.0013		
10/3/0.200		101/1100			

FORM PCT/DO/EO/905 (371 Formalities Notice)